

CONSOLIDATED BALANCE SHEET

As of 30th Sep, 2014

Unit: VND

Assets	Code	EXP	Ending Balance	Beginning Balance
A. CURRENT ASSETS (100=110+120+130+140+150)	100		3.479.066.096.401	2.768.235.282.081
I. Cash and cash equivalents	110	V.01	1.174.546.086.611	1.007.873.885.140
1. Cash	111		1.174.546.086.611	1.007.873.885.140
2. Cash equivalents	112		-	-
II. Short-term financial investments	120		384.472.213.700	352.083.430.831
1. Short-term investments	121	V.02	437.095.044.257	397.465.522.487
2. Short-term investment of trustee investment	122	V.03	-	-
3. Provision for devaluation of short-term investments (*)	129	V.02	(52.622.830.557)	(45.382.091.656)
III. Short-term receivables	130	V.08	1.915.200.130.718	1.402.016.293.670
1. Trade accounts receivable	131		1.468.394.231	2.252.423.377
2. Advances to supplier	132		1.115.456.442	110.262.322
3. Short-term internal receivables	133		-	-
4. Receivable in accordance with contracts in progress	135		1.923.401.694.917	1.390.070.574.094
5. Other receivables	138		5.933.890.222	32.898.998.971
6. Provision for short-term bad receivables (*)	139		(16.719.305.094)	(23.315.965.094)
IV. Inventories	140		-	-
V. Other current assets	150		4.847.665.372	6.261.672.440
1. Short-term prepaid expenses	151		4.492.555.691	6.110.580.511
2. VAT deductible	152		-	-
3.Tax and accounts receivable from State budget			-	-
4. Trading Government bonds	154		-	-
5. Other current assets	158		355.109.681	151.091.929
Assets	Code	EXP	Ending Balance	Beginning Balance
B. LONG-TERM ASSETS (200=210+220+240+250+260)	200		128.287.979.986	359.545.786.841
I. Long-term receivables	210		-	-
1. Long-term receivables from customers	211		-	-
2. Capital receivable from subsidiaries	212		-	-
3. Long-term inter-company receivables	213		-	-
4. Other long-term receivables	218		-	-
5. Provision for long-term bad receivable (*)	219		-	-
II. Fixed assets	210		6.649.881.790	7.370.462.750
1. Tangible fixed assets	221	V.06	4.851.316.734	5.685.717.561
- Historical cost	222		39.914.432.126	38.432.189.860
- Accumulated depreciation (*)	223		(35.063.115.392)	(32.746.472.299)
2. Finance leases fixed assets	224		-	-
- Historical cost	225		-	-
- Accumulated depreciation (*)	226		-	-
3. Intangible fixed assets	227	V.07	1.798.565.056	1.684.745.189
- Historical cost	228		22.921.878.017	21.963.858.667
- Accumulated depreciation (*)	229		(21.123.312.961)	(20.279.113.478)
4. Construction in progress expenses	230		-	-
III. Property Investment	240		-	-
- Historical cost	241		-	-
- Accumulated depreciation (*)	242		-	-
IV. Long-term financial investments	250	V.02	-	229.370.665.250
1. Investment in subsidiary company	251		-	-
2. Investment in joint venture	252		-	-
3. Long-term security investments	253		-	229.370.665.250
4. Other long-term investment	258		-	-
5. Provision for devaluation of long-term finance investments (*)	259		-	-
V. Other long-term assets	260		121.638.098.196	122.804.658.841
1. Long-term prepaid expenses	261	V.04	104.114.759.832	107.738.117.777
2. Deferred income tax assets	262		-	-
3. Deposit fund payment	263	V.05	14.332.583.310	11.832.583.310
4. Others	268		3.190.755.054	3.233.957.754
TOTAL ASSETS ( 270=100+200)	270		3.607.354.076.387	3.127.781.068.922

CAPITAL SOURCE	Code	EXP	Ending Balance	Beginning Balance
<b>A. LIABILITIES (300=310+330)</b>	<b>300</b>		<b>1,216,662,704.344</b>	<b>880,432,875.754</b>
<b><i>I. Current liabilities</i></b>	<b>310</b>		<b>1,216,662,704.344</b>	<b>880,432,875.754</b>
1. Short-term borrowing and debts	311	V.13	100,000,000.000	-
2. Trade accounts payable	312	V.10	832,770.649	1,464,675.431
3. Advances from customers	313	V.11	-	-
4. Taxes and liabilities to State budget	314	V.12	40,435,961.560	39,092,023.179
5. Payable to employees	315		-	-
6. Payable expenses	316	V.09	28,143,428.971	20,041,416.663
7. Accounts payable-Affiliate	317		-	-
8. Payable to securities transactions	320		1,013,785,122.919	584,090,981.306
9. Payable to dividend, original capital and interest bonds	321		15,341,106.552	6,175,409.201
10. Payable to organization issuing securities	322		-	-
11. Bonus and welfare fund			10,561,860.480	6,443,177.708
12. Other short-term payables	328	V.14	7,562,453.213	217,478,509.568
13. Traded government bonds again			-	5,646,682.698
14. Unrealised revenue			-	-
15. Provision for short-term liabilities	329		-	-
<b><i>II. Long-term liabilities</i></b>	<b>330</b>		-	-
1. Long-term accounts payable-Trade	331		-	-
2. Long-term accounts payable-Affiliate	332		-	-
3. Other long-term payables	333		-	-
4. Long-term borrowing and debts	334		-	-
5. Capital trustee received long-term investment	335	V.15	-	-
6. Deferred income tax	336		-	-
7. Provision for unemployment benefit	337		-	-
8. Provision for long-term liabilities	338		-	-
9. Provision for compensation for investors	339		-	-
<b>B. OWNER'S EQUITY (400=410+430)</b>	<b>400</b>		<b>2,390,691,372.043</b>	<b>2,247,348,193.168</b>
<b><i>I. Capital sources and funds</i></b>	<b>410</b>	<b>V.16</b>	<b>2,390,691,372.043</b>	<b>2,247,348,193.168</b>
1. Paid-in capital	411		1,272,567,580.000	1,272,567,580.000
2. Capital surplus	412		310,343,798.499	310,343,798.499
3. Other capital of owner	413		-	-
4. Treasury stock (*)	414		(327,210.708)	(123,551.893)
5. Assets revaluation difference	415		-	-
6. Foreign exchange difference	416		-	-
7. Investment and development funds	417		3,961,374.994	3,961,374.994
8. Financial reserve fund	418		144,626,530.513	144,626,530.513
9. Other fund belong to owner's equity	419		-	-
10. Retained after-tax profit	420		659,519,298.745	515,972,461.055
<b>MINARITY INTEREST</b>			-	-
<b>TOTAL RESOURCES</b>	<b>440</b>		<b>3,607,354,076.387</b>	<b>3,127,781,068.922</b>

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CONSOLIDATED INCOME STATEMENT

Quarter 3/2014

Unit: VND

No.	Items	Code	Quarter 3		Accumulation	
			Current year	Previous year	Current year	Previous year
1	2	3	4	5	6	7
1	Revenue of sales and services	01	216.720.646.191	141.802.373.331	639.232.746.628	435.654.290.751
	<i>Include:</i>					
	- Revenue per active stockbroker		86.275.615.143	44.520.263.462	219.018.338.729	135.865.119.932
	- Revenue activities Investment securities, capital contribution		45.586.782.453	23.631.813.403	176.147.706.994	81.986.346.939
	- Revenue guarantees issued securities		-	-	-	-
	- Revenue agents issuing securities		-	2.656.781	-	2.656.781
	- Revenue Management category of investment company fund management		-	-	-	-
	- Revenue from the operation		2.057.076.013	13.933.486.370	9.820.287.272	15.241.695.538
	- Revenue save up stocks		849.520.508	899.258.045	2.607.653.585	2.808.787.945
	- Revenue activities trustee auction		-	-	-	-
	- Revenue for the use tax assets		-	-	-	-
	- Others revenue		81.951.652.074	58.814.895.270	231.638.760.048	199.749.683.616
2	Deductions	02	-	-	-	-
3	Net sales and services (10=01 - 02)	10	216.720.646.191	141.802.373.331	639.232.746.628	435.654.290.751
4	Cost of goods sold	11	58.990.887.278	47.014.008.098	164.341.813.698	132.812.067.638
5	Gross profit	20	157.729.758.913	94.788.365.233	474.890.932.930	302.842.223.113
6	General and Administrative Expenses	25	23.106.860.222	19.745.146.277	65.068.051.407	63.316.461.164
7	Net operating profit (30=20-25)	30	134.622.898.691	75.043.218.956	409.822.881.523	239.525.761.949
8	Other Income	31	-	10.392.789	4.090.909	90.546.213
9	Other Expenses	32	-	-	-	-
10	Other profit (40=31-32)	40	-	10.392.789	4.090.909	90.546.213
11	Profit from joint venture	41	-	-	-	-
12	Total profit before tax (50=30+40+41)	50	134.622.898.691	75.053.611.745	409.826.972.432	239.616.308.162
13	Current corporate income tax expenses	51	29.413.296.039	18.530.155.168	89.619.669.529	59.291.616.880
14	Deferred corporate income tax expenses	52	-	-	-	-
15	Profit after tax (60=50-51-52)	60	105.209.602.652	56.523.456.577	320.207.302.903	180.324.691.282
	15.1 Profit after tax of minorities		-	-	-	-

CONSOLIDATED CASH FLOW STATEMENT

(Indirect method)  
Quarter 3/2014

Unit: VND

No.	Items	Code	Accumulation	
			Current year	Previous year
I.	CASH FLOWS FROM BUSINESS SECURITIES			
1.	Profit before tax	01	409.826.972.432	239.616.308.162
2.	Adjusted for the:			
-	Depreciation of fixed assets	02	3.160.842.576	6.485.118.034
-	The back-up	03	644.078.901	(3.702.151.070)
-	Interest, loss difference exchange rates not	04	-	-
-	Interest, loss investment activities	05	(72.967.111.829)	(121.405.819.947)
-	Loan interest expenses	06	6.050.785.876	21.101.542.774
3.	Profit from operating activities	08	346.715.567.956	142.094.997.953
-	Increase, decrease receivables	09	(522.176.534.750)	(353.742.623.324)
	Increase, reduce inventor		(39.629.521.770)	(240.500.430.830)
-	Increase, reduce short-term securities	10	-	-
-	Increase, reducing the pay	11	236.325.014.930	(50.006.265.246)
-	Increase, reduce costs prepaid	12	5.241.382.765	5.179.431.727
-	Interest loan funds have	13	(6.513.535.444)	(16.332.664.077)
	Corporate income tax was filed	14	(94.168.808.173)	(50.207.003.538)
-	Cash received from operating activities	15	-	-
-	Orther cash paid from operating activities	16	(19.672.549.198)	(11.076.360.822)
	Net cash flows from operating activities	20	(93.878.983.684)	(574.590.918.157)
II.	CASH FLOWS FROM INVESTING ACTIVITIES:			
1.	Cash paid for purchase of capital assets and other long-term assets	21	(2.440.261.616)	(1.419.845.374)
2.	Cash received from liquidation or disposal of capital assets and other long-term assets	22	-	139.600.000
3.	Cash paid for lending or purchase debt tools of other companies	23	-	(649.703.228.476)
4.	Withdrawal of lending or resale debt tools of other companies	26	234.977.855.075	782.560.109.590
5.	Cash paid for joining capital in other companies	27	-	-
6.	Withdrawal of capital in other companies	28	-	-
7.	Cash received from interest, dividend and distributed profit	29	80.449.279.706	108.454.768.510
	Net cash used in investing activities	30	312.986.873.165	240.031.404.250
III.	CASH FLOWS FROM FINANCING ACTIVITIES:			
1.	Cash received from issuing stock, other owners' equity	31	-	7.171.415.000
2.	Cash paid to owners equity, repurchase issued stock	32	(3.658.815)	(1.152.450)
3.	Cash received from long-term and short-term borrowings	33	350.000.000.000	-
4.	Return of capital trustee to trustee investment	34	-	-
5.	Cash paid to principal debt	35	(250.000.000.000)	-
6.	Cash paid to financial lease debt	36	-	-
7.	Dividend, profit paid for owners	37	(152.432.029.195)	(201.190.418.250)
	Net cash (used in) provided by financing activities	40	(52.435.688.010)	(194.020.155.700)
	Net cash during the period	50	166.672.201.471	(528.579.669.607)
	Cash and cash equivalents at beginning of year	60	1.007.873.885.140	1.794.202.976.893
	Influence of foreign exchange fluctuation	61	-	-
	Cash and cash equivalents at end of year	70	1.174.546.086.611	1.265.623.307.286